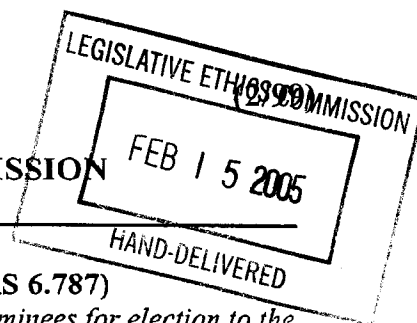


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KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☐ Check here and attach additional sheets if necessary. _____ Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Joseph Allen Pendleton
 Business address 905 Hurst Dr. - Hopkinsville, KY 42240
 Business telephone (502) 564-8100, Ext. 647
 Home address 905 Hurst Dr. - Hopkinsville, KY 42240
 Title of public position, or office sought State Senator
 Other occupations of filer Farmer + Farm Operations + Project Manager - MSU School of Agriculture
 Occupations of spouse Revenue Agent - Internal Revenue Service

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation

Co-owner - Greenland Farms - Hopkinsville, KY 42240

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation

Co-owner - Greenland Farms - Hopkinsville, KY 42240

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more

Greenland Farms - Hopkinsville, KY 42240 (Joint)

CDs BB&T Bank - Hopkinsville, KY 42240 (Spouse)

CDs Planters Bank - Hopkinsville, KY 42240 (Spouse)

Thrift Savings Plan - Federal Government (Spouse)

Heritage Cash Trust - Raymond James Financial Services (Spouses)

John Hancock Mid Cap Growth Fund (Spouse)

American Funds - New Perspective (Spouse)

Van Kampen Senior Loan Fund (Spouse)

Hartford Insurance Company - Putnam Capital Manager (Spouse)

BB&T Corp (Spouse) Touchstone Family Funds (Filer)

Sources and form of gross income of the filer (list sources by name) _____

Salary - State Senate + Murray State University School of Agriculture
Income from Farm Operations + Cattle Sales
Income from Sale of Tobacco Pounds + National Tobacco Settlement
Income from Rental Property
Interest from Southern States Petroleum - Dividends
Interest on Bank Account - BB&T

Sources and form of gross income of the filer's spouse (list sources by name) _____

Salary - Internal Revenue Service
Income from Farm Operations + Cattle Sales
Income from Rental Property
Interest on Bank Accounts + CDs - BB&T + Planters Bank
Interest, Dividends + Capital Gains on Investments with
Raymond James

Positions of a fiduciary nature in a business _____

None

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children _____

Rural - Farm in Christian County - Hopkinsville, KY (Joint)
Rural - Lake Lot in Marshall County - Benton, KY (Spouse)

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) _____

None

The name of any creditor owed more than \$10,000 except debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes)

FmHA - Hopkinsville, KY 42240

Planters Bank - Hopkinsville, KY 42240

GMAC - Carol Stream, IL 60197

Fifth Third Bank - Cincinnati, OH 45263

The name of any legislative agent who is:

- 1. A member of the filer's immediate family;
- 2. A partner of the filer, or a partner of a member of the filer's immediate family;
- 3. An officer or director of the filer's employer;
- 4. An employer of the filer or an employer of a member of the filer's immediate family;
- 5. A business associate of the filer or a business associate of a member of the filer's immediate family

None

The names of any of the filer's clients who are legislative agents or employers

None

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☐ No ☒ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

2-14-05
Date

Joseph Allen Penabaz
Filer

Send completed statements to: The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601

If you have questions please call us at (502) 573-2863.